

PART B - FEE(S) TRANSMITTAL

NOV 12 2004

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

08/17/2004

Wayne P. Bailey
Storage Technology Corporation
One StorageTek Drive, MS-4309
Louisville, CO 80028-4309

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Stephanie Klepp (Depositor's name)
Stephanie Klepp (Signature)
01/19/2004 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/966,263	09/28/2001	Mark Alan Bakke	98-017-TAX 11/15/2004 DENMARK2 00000102 194545	4007 09966263

TITLE OF INVENTION: INTELLIGENT DATA STORAGE MANAGER

01 FC:1501 1370.00 DA
02 FC:1504 300.00 DA
03 FC:A001 12.00 DA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	11/17/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
PERVEEN, REHANA	2116	710-005000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Wayne P. Bailey

2

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Storage Technology Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Louisville, Colorado

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 4

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-4545 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date) September 11, 2004

Timothy R. Schulte

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the U.S. Postal Service as first class mail in a sealed envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

Date:

11/9/2004

Date of Deposit

Printed Name: Stephanie Klepp

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: MARK A. BAKKE ET AL.

EXAMINER: R. Perveen

SERIAL NO.: 09/966,263

ART UNIT: 2116

FILED: September 28, 2001

DATE OF NOTICE OF
ALLOWANCE: August 17, 2004

FOR: INTELLIGENT DATA STORAGE MANAGER

ISSUE FEE TRANSMITTAL

MAIL STOP ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are the following documents related to the above-identified application:

- ☒ Acknowledgment of Receipt Card
- ☒ Issue Fee Transmittal Form
- ☒ Please charge Deposit Account No. 19-4545 in the amount of \$1,642.00 (\$1,330.00 for Issue Fee, \$300.00 for Publication Fee, and \$12.00 for Advance Order Fee).
- ☒ We believe no additional fees are required. If, however, additional fees are, indeed, required, the Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 19-4545.
- ☒ Two additional copies of this sheet are enclosed.

Dated: September 11/9, 2004

Respectfully submitted,

STORAGE TECHNOLOGY CORPORATION

By:

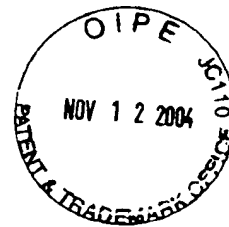
Timothy R. Schulte

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RECEIVED ON THE DATE STAMPED BELOW
Applicants: Bakke et al.
For: INTELLIGENT DATA STORAGE MANAGER
U.S. Serial No.: 09/966,263
Please acknowledge receipt of the enclosed:
1. Issue Fee Transmittal Letter
2. PTO Issue Fee Transmittal Form
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Mailed: 11/9/2004
StorageTek Docket No. 98-017 FAX



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